emerald insight



International Journal of Climate Change Strategies and Management

Building climate change resilience through adaptation in smallholder farming systems in semi-arid Zimbabwe

Obert Jiri Paramu L Mafongoya Pauline Chivenge

Article information:

To cite this document:

Obert Jiri Paramu L Mafongoya Pauline Chivenge, (2017)," Building climate change resilience through adaptation in smallholder farming systems in semi-arid Zimbabwe ", International Journal of Climate Change Strategies and Management, Vol. 9 Iss 2 pp. - Permanent link to this document:

http://dx.doi.org/10.1108/IJCCSM-07-2016-0092

Downloaded on: 14 March 2017, At: 00:32 (PT) References: this document contains references to 0 other documents. To copy this document: permissions@emeraldinsight.com The fulltext of this document has been downloaded 20 times since 2017*

Users who downloaded this article also downloaded:

(2017), "Climate change-related non-economic loss and damage in Bangladesh and Japan", International Journal of Climate Change Strategies and Management, Vol. 9 Iss 2 pp. -

(2017),"Impacts of changing weather patterns on smallholder well-being: evidence from the Himalayan region of Northern Pakistan", International Journal of Climate Change Strategies and Management, Vol. 9 Iss 2 pp. -

Access to this document was granted through an Emerald subscription provided by emerald-srm: 448207 []

For Authors

If you would like to write for this, or any other Emerald publication, then please use our Emerald for Authors service information about how to choose which publication to write for and submission guidelines are available for all. Please visit www.emeraldinsight.com/authors for more information.

About Emerald www.emeraldinsight.com

Emerald is a global publisher linking research and practice to the benefit of society. The company manages a portfolio of more than 290 journals and over 2,350 books and book series volumes, as well as providing an extensive range of online products and additional customer resources and services.

Emerald is both COUNTER 4 and TRANSFER compliant. The organization is a partner of the Committee on Publication Ethics (COPE) and also works with Portico and the LOCKSS initiative for digital archive preservation.

*Related content and download information correct at time of download.

Building climate change resilience through adaptation in smallholder farming systems in semi-arid Zimbabwe

1. Introduction

Scientific evidence suggests that global climatic conditions are changing mostly for the worst (CGIAR, 2012; Marin, 2010). Climate change has been regarded as a silent crisis, since the effects of climate change are not immediately visible (Maponya, 2010). However, climate change has changed weather patterns (onset of seasons and rainfall distribution) and increased the intensity and frequency of extreme weather events such as droughts and floods, which impact particularly on the poor in developing countries (Läderach et al., 2011).

High variability in rainfall and temperature that have come as a result of climate change expose farmers to climate risks and affects agricultural production on which their livelihoods are dependent (Shiferaw et al., 2014). In Zimbabwe, 70% of the local population depend rain fed agriculture, which is also subsistence based, yet agriculture is the backbone of the economy. This means that rainfall and temperature variations have severe implications on production and food security (Unganai and Murwira, 2010). Using the 1961-1990 baselines, it is suggested that by 2050, average temperatures in Zimbabwe will be $2 - 4^{\circ}$ C higher and rainfall 10-20% less and this will consequently significantly reduce maize yields (Lobell et al., 2008; Unganai and Murwira, 2010). Climate models predict that Zimbabwe agriculture production levels might drop by around 30% due to climate change (Mano and Nhemachena, 2007).

© Emerald Group Publishing Limited

Farming communities have employed various copping and adaptation strategies in order to counter climate change and variability. Nhemachena and Hassan (2010) underscored that adaptation measures help smallholder farming communities develop adaptive capacity and resilience to climate change (Klein et al., 2014). Smallholder farmers use both scientific (meteorological) and indigenous knowledge systems to make adaptation decision (Jiri et al., 2015b; Mapira and Mazambara, 2013). These adaptation decisions would assist smallholder farmers attain better livelihoods in the face of climate change and variability (Dube and Sekhwela, 2007). This helps farmers guard against the effects of increasing temperatures and decreasing rainfall, moderating vulnerability (Hassan and Nhemachena, 2008; Wilhite et al., 2014). Understanding adaptation to climate change, therefore, is important so as to develop and implement effective adaptation measures which lead to improved adaptive capacity and resilience at the household level. This is critical as the velocity of current climate change and variability may outpace adaptation in many parts of the world (Adger and Barnett, 2009), unless serious consideration is given to local level adaptation strategies that increase resilience in the short term, and increase adaptive capacity for future impacts. In smallholder farming communities, climate smart agricultural options such as conservation agriculture and use of drought tolerant crops are some of the adaptation strategies being encouraged (Pye-Smith, 2011).

Resilience is a key concept used in various disciplines such as ecology and sociology. In ecology, the concept of resilience is used in ecosystem management and in analysis of population ecology of fauna and flora. In sociology, resilience is conceptualised in socioecological systems (United Nations, 2011). Empirical observations of ecosystem dynamics interpreted in mathematical models was generally employed to determine resilience (Folke,

© Emerald Group Publishing Limited

This is a pre-print of a paper and is subject to change before publication. This pre-print is made available with the understanding that it will not be reproduced or stored in a retrieval system without the permission of Emerald Group Publishing Limited.

2004). However, there has been a shift from such conceptualisation and resilience has increasingly been used in human-environment interactions analysis to understand how humans affect the resilience of ecosystems (Merijn van Leeuwen et al., 2013). In some studies, resilience is regarded as the antithesis of vulnerability (Folke, 2004) but some scholars do not make such a clear distinction (e.g. Shiferaw et al., 2014). However, in some cases, a resilience factor may lead to more vulnerability to climate change and variability. For example, livestock farming can be a resilience strategy when there is no drought, as livestock can be sold for income. However, under drought conditions, holding onto livestock increases vulnerability to climate impacts (Ifejika, 2010). This study evaluated adaptation options as a means to increasing resilience to climate change and variability, and thus increases adaptive capacity. This was done through analysis of socioeconomic factors influencing smallholder farmers' decisions to adapt to climate change and variability.

2. Methodology

2.1 Site description

The study was conducted in Chiredzi District which is located south east of Zimbabwe. Chiredzi District lies in Masvingo province. Chiredzi town is located about 400 km from the capital of Zimbabwe, Harare. The district is found in natural agroecological region five of Zimbabwe (Zimbabwe Meteorological Department, 2006). In Zimbabwe, natural region five is characterized by aridity and uncertain rainfall patterns. Chiredzi receives mean annual rainfall of 450 - 600 mm with mean annual evaporation exceeding 1800 mm. Historical data shows that surface temperatures in the district have warmed by 0.6° C from 1966 to 2005, and is projected to rise to $1.5 - 3.5^{\circ}$ C by about 2050 (Davis, 2011; Zimbabwe Meteorological Department, 2006).

This is a pre-print of a paper and is subject to change before publication. This pre-print is made available with the understanding that it will not be reproduced or stored in a retrieval system without the permission of Emerald Group Publishing Limited.

Despite the aridity of the district, the main source of livelihood for households in Chiredzi is agriculture.

2.2. Resilience analysis data collection

Data collection was based on guidance of the Vulnerability to Resilience Framework (Pasteur, 2011) and the Climate Vulnerability and Capacity Analysis framework (Care, 2009). The tools generally recognize that individuals and communities are vulnerable in different ways. A summary of the tool is represented in Figure 1. However, the governance component was beyond the scope to of this study. Both qualitative and quantitative were used techniques to collect data. Data were collected using a structured questionnaire, focus group discussions and key informant interviews and literature surveys. Sampling of the study area was achieved through the help of government agricultural extension (AGRITEX) officials in the area who assisted in the identification of suitable wards in which to carry out the study. A ward, in this case, consisted of an average of 15 villages of about 40 households each. Four wards, 2 on either side of the Runde River, were chosen for this study. Farmer lists were produced for each village by the respective AGRITEX officers for each ward. Five villages were then randomly chosen from each ward so as to have a sample representing the whole ward. Within the randomly selected villages, 5 farmers were also randomly selected using the farmer lists in each village to give 25 respondents per ward. The respondents identified for this study were all dry land smallholder farmers. A total of 100 respondents were included in the study. Quantitative data collected was analysed using the Statistical Package for Social Sciences (SPSS).

© Emerald Group Publishing Limited

This is a pre-print of a paper and is subject to change before publication. This pre-print is made available with the understanding that it will not be reproduced or stored in a retrieval system without the permission of Emerald Group Publishing Limited.



2.3. Binary Logit Model

The study used a binomial logit model to analyse the socioeconomic factors affecting the households' decision to adapt to climate change or not to adapt. This method has been used by several authors to study household decision to adapt to climate change (Charles et al. 2014). The dependent variable is dichotomous i.e. households decision to adapt or not adapt to climate change. The binary logit model in this case is appropriate because it considers the relationship between a binary dependent variable and a set of independent variables.

The model uses a logit curve to transform binary responses into probabilities within the 0 - 1 interval. In the logit model the parameter estimates are linear and assume a normally distributed error term (μ). The logit model is specified in equation 1 as:

$$\operatorname{Prob}(Y_{i} = j) = \frac{\exp(\beta'_{j}X_{i})}{\sum_{k=0}^{j} \exp(\beta'_{k}X_{i})}$$
(1)

Where β_j is a vector of coefficients on each of the independent variables X_i. Equation (1) can be normalized to remove indeterminacy in the model by assuming that $\beta_0 = 0$ and the probabilities can be estimated as:

Prob
$$(Y_i = j | x'_i) = \frac{\exp(\beta_j x_i)}{1 + \sum_{k=1}^j \exp(\beta_k x_i)}, j = 0, 1, 2 \cdots J, \beta_0 = 0$$
 (2)

The general form of the logit model is presented below:

$$Prob(Y_i = 1) = F(\beta' x)$$
(3)

$$Prob(Y_i = 0) = 1 - F(\beta' x) \tag{4}$$

The binary logit estimate is expressed in its implicit form as follows:

$$Y = f(X_1, X_2, X_3, X_4, X_5, X_6, X_7, X_8, X_9, X_{10}, X_{11}, X_{12})$$
(5)

[©] Emerald Group Publishing Limited

This is a pre-print of a paper and is subject to change before publication. This pre-print is made available with the understanding that it will not be reproduced or stored in a retrieval system without the permission of Emerald Group Publishing Limited.

Where Y is the adaptation status (1= farmers who adapted, 0= farmers who did not adapt; X_1 is age of household head; X_2 is access to extension (1=accessed extension; 0=no access to extension); X_3 is the number of individuals fit to work; X_4 is access to credit (1= access to credit; 0= no access to credit); X_5 is farm income; X_6 is livestock holding; X_7 is total dryland area; X_8 is employment status (1=full time; 0=otherwise), X_9 is literacy level (1 = literate; 0 = otherwise). The a priori expected relationship between the dependent variable and explanatory variables is given in Table 1.

This is a pre-print of a paper and is subject to change before publication. This pre-print is made available with the understanding that it will not be reproduced or stored in a retrieval system without the permission of Emerald Group Publishing Limited.

Table 1: Description of variables and expected signs

Variable	Relationship with dependent variable (and the	Expected
	influence on farmer and household resilience)	sign
Age of household	Young farmers are quick to understand and accept new	Negative
head	ideas and are more likely to be willing to adapt to	
	climate change than older farmers (better resilience)	
Education level of the	Education increases the probability of adapting to	Positive
household head	climate change as it is associated with being open	
	minded and the ability to embrace positive change	
	(better resilience)	
Number of people fit	A larger household is expected to have a better labour	Negative
to work in the	endowment, enabling achievement of farm activities	or positive
household	(better resilience)	
	The consumption pressure as a result of a large	
	household size may result in diversion to off-farm	
	activities to generate more income, cripping ability to	
A again to gradit	ddapt (less resulence)	Dogitiyo
finance	operations therefore enhancing the probability of a	Positive
Infance	farmer to adapt strategies (<i>batter resilience</i>)	
Employment status or	A fulltime farmer primarily seeks to be productive in his	Positive
time awarded to	farm activity and thus more likely to adapt <i>(better</i>)	1 OSILIVC
farming	resilience)	
Household total	The larger the farm size, the greater the proportion of	Positive
dryland farm area	land allocated to other crop varieties (Gershon <i>et al</i>	1 0511110
	1985) (better resilience, if climate smart technologies	
	are adapted)	
Total farm income	High income enables farmer to be able to finance	Positive
	different activities (better resilience)	
Total livestock owned	Livestock ownership represent wealth, households with	Positive
by the household	better livestock endowment adapt better (better	
	resilience).	
Access to extension	Access to extension advice is expected to increase one's	Positive
advice (dummy	choice to adapt. Extension increase access to useful	
variable 1=yes 0=no)	knowledge meant to bring change and growth (better	
	resilience)	
Access to information	Access to information via technology such as mobile	Positive
	phones and radio is expected to increase the awareness	
	and choices to adapt (better resilience)	

© Emerald Group Publishing Limited

3. Results

3.1 Adaptation determinants for resilience

A comparative analysis of socioeconomic variables of households according to their adaptation status is given in Table 2. The results show that 71% of the farmers interviewed adapted to climate change and variability. From the sample 61.9% farmers who have adapted to climate change were male while 38.1% were female. On the other hand, 67.6% of non-adapters were male and 32.4% were female. However, the chi-square test showed no significant association between the gender concentration for adapters and non-adapters. Instead, there was a significant difference in the mean age of adapters (43 years) and non-adapters (57 years) (P<0.05). Households adapting to climate change tended to be younger. Incomes of adapters were significantly higher and adapters had access to credit. A significant difference was also noted between the literacy status of farmers 74.6% of the farmers who adapted to climate change were literate and while 55.9% of the households that did not adapt were literate (P<0.05). The chi-square analysis showed the presence of systematic association between the literacy status of farmers of systematic association between the literacy status of farmers and adapters.

This is a pre-print of a paper and is subject to change before publication. This pre-print is made available with the understanding that it will not be reproduced or stored in a retrieval system without the permission of Emerald Group Publishing Limited.

Characteristics		Adapters to	Non-adapters to
		climate change	climate change
		N=100	N=100
Proportion of adapters to		71	29
non-adapters			
Age of household head		43	57
(mean)			
Gender	Male	61.9	67.6
	Female	38.1	32.4
Level of education of the	Literate	74.6	55.9
household head	Illiterate	25.4	44.1
Number of people fit to		6	3
work (mean)			
Credit finance	Access to credit	41.3	6
	Lack of access to credit	58.7	94
Extension advice	Accessed extension	63.5	
	No access to extension	36.5	
Farm income per household (mean)		USD 154	USD 27
Livestock holding per household (mean)		4	2.5

Table 2: Household characteristics influencing adaptation to climate change and variability

© Emerald Group Publishing Limited

3.2 Development of resilience

Table 3 summarises the key strategies that could be used by smallholder farmers to develop resilience and adaptive capacity to climate change and variability. These results shown in Table 3, are based on information provided through focus group discussions and interviews with key community people. Success and continued adaptation could be defined by these factors. The key informants interviewees and focus group discussants emphasised the nature, pathways and stakeholders for obtaining measurable outcomes on each strategy (Table 3).

This is a pre-print of a paper and is subject to change before publication. This pre-print is made available with the understanding that it will not be reproduced or stored in a retrieval system without the permission of Emerald Group Publishing Limited.

Downloaded by Athabasca University At 00:32 14 March 2017 (PT)

Table 3: Farmer suggestions on building smallholder farmer resilience at local level

Early warning systems operational at Number of farmers adopting climate Useable and reliable climate change agriculture and its mainstreaming in Institutionalisation of climate smart information, services and products developed and being experimented Climate resilient cropping options policy makers and planners at the information available for use by Availability of relevant climate indigenous knowledge systems local level using scientific and Improved long-term resilience against shocks and stressors; Courses that support climate **Measurable outcomes** ocal and national level agricultural policies resilience building smart agriculture; with farmers Demonstration of climate smart options at farm In-service training to fill skills gaps in current services by government and local community producers and knowledge brokers to discuss Research programmes specifically targeting climate resilient crops for expected climatic indigenous knowledge systems for adapters, organisations working in the local area and Institutional capacity to support adaptation; Pathways and stakeholders for building extension and credit; Emergency response needs and availability of information and Financial and other support available for Integration of scientific knowledge with stakeholders consolidate and downscale Scientific and academic community and Engagement with climate information Provision of services such as research, Fraining in climate smart agricultural agricultural extension workers Social capital and safety nets; esilience building options climate smart agriculture extension workers conditions resilience research; practices: level; Scenarios of future agro-climatic conditions Simple local and temporal maps by farmers indigenous knowledge systems for disaster systems by farmers and extension workers weather and seasonal forecasts, and use of Downscaled climate modelling and up-todate climate change scenarios and use of Relevant downscaled climate modelling, Key skills required for climate resilient Promotion of climate smart agricultural Principles, practice and case studies of **Fimely and relevant supply of climatic** resilient options available for farmers What can be measured as enabling prevalence and vulnerability indices; Vulnerability and risk assessments and other stakeholders on hazards indigenous knowledge systems risk reduction information information practices A compendium of adaptation options as a result of climate Promotion of innovation and Informed decision making socioeconomic conditions proper training in climate which build food security Extension workers with

 Table 3: Fa

 Promotion of information on local information on local information on local information of condition change and variability change and variability

3.3 Development of resilience, farmer adaptation strategies

In order to cope with recurrent droughts, farmers used adaptation strategies that included dry planting, planting short season crop varieties, planting drought tolerant crops such as sorghum and millets, moisture preserving techniques such as conservation agriculture, holding prayers and religious festivals, and crop diversification (Table 4). Of these adaptation techniques the most commonly used was dry planting (26.8%) followed by conservation agriculture (17.5%) and planting short season varieties.

Table 4: Adaptation techniques

Adaptation technique	Percentage of farmers	
Dry planting	26.8	
Prayers and religious festivals	5.2	
Planting short season varieties	12.4	
Conservation agriculture	17.5	
Crop diversification	3.1	
No adaptation	35.1	

This is a pre-print of a paper and is subject to change before publication. This pre-print is made available with the understanding that it will not be reproduced or stored in a retrieval system without the permission of Emerald Group Publishing Limited.

3.4 The likelihood of farmers adapting, developing resilience and adaptive capacity

The results of the binary logit regression are shown in Table 5. The model had a 91.4 % correct prediction value denoting the accuracy of prediction of compared variables. The Likelihood Ratio Chi² value was 85.5 implying that the model is fit very well to the data, that is, the likelihood of the null hypothesis which states that the coefficients are equal to zero (i.e. farmers not adapting) being correct is extremely low. Most of the variables tested had the expected hypothesized signs (Table 1). From the logit regression results, draught power, access to credit, extension education and number of members fit to work positively and significantly influence farmers' decision to adapt to climate variability (Table 5). Thus the development of resilience to climate change is positively affected by these factors. At the same time, age of household head and farm income negatively and significantly influence farmers' decision to adapt. Thus these factors had a negative correlation to development of adaptive capacity and resilience.

3.4.1 Influence of age of household head on adaptation and resilience development

The estimated parameter for age of the household head is negative sign and is statistically significant at 1% showing that the age of the household head has a strong influence on farmers' decision to adapt to climate change. In other words, the older the household head is the lower the adaptation and resilience capacity of the household. The Exp (β) value shows that the odds of adapting to climate change decrease by a factor of 0.815 for a unit increase in age. Young farmers were more likely to take up adaptation to climate change and variability than older farmers. In general, as people grow older, they are reluctant to adopt new techniques and let go of the conventional way of doing things.

[©] Emerald Group Publishing Limited

This is a pre-print of a paper and is subject to change before publication. This pre-print is made available with the understanding that it will not be reproduced or stored in a retrieval system without the permission of Emerald Group Publishing Limited.

3.4.2 Influence of members fit to work in the household on adaptation and resilience development

The number of household members fit to work (those members who are not sick or too old to engage in manual agricultural work) positively and significantly influenced adaptation. Members too old for work were those above 65 years of age. For a unit increase in farm household size, the odds that farmers will adapt to climate change are expected to rise by a factor of 2.68 (Table 5). This implies that the bigger the family size the higher the probability of adapting to climate change.

3.4.3 Influence of access to credit on adaptation and resilience development

The results show that, access to credit increased the adaptation capacity of the farmer. The odds of a farmer adapting to climate change is expected to increase by a factor of 13 if a farmer gains access to credit (Table 5).

3.4.4 Influence of total livestock holding of household on adaptation and resilience development

As per expectation, livestock holding had a positive relationship with adaptation to climate change. An increase in total livestock holding by one unit is likely to give an increase in the odds of adaptation to climate change by a factor of 1.74 (Table 5).

3.4.5 Influence of household access to extension services on adaptation and resilience development

Access to extension services on climate change adaptation positively influenced a household's decision to adapt to climate change (Table 5). It is expected that with increased information on climate change and adaptation techniques, farmers would choose to adapt.

3.4.6 Influence of total household farm income on adaptation and resilience development

Contrary to apriori expectation and empirical evidence the results show a negative relationship between farm income and the choice to adapt to climate change. The most probable reason is that farmers who engage in the conventional agricultural system and realise high farm incomes may not be willing to take up new activities as they could be comfortable with what they were getting. The education level of the household head, farm size and employment status of the household had no significant influence of adaptation to climate change (Table 5).

Variable	β	S.E	P value	Exp (β)		
Age of household head	-0.205	0.075	0.006***	0.815		
Extension advice	5.347	1.963	0.006***	210.044		
Members fit to work	0.986	0.385	0.010**	2.682		
Access to credit	2.572	1.377	0.062*	13.098		
Total farm income	-0.011	0.006	0.085*	0.989		
Total livestock holding	0.553	0.287	0.054*	1.739		
Total dryland area	0.240	0.308	0.437	1.271		
Employment status	0.998	1.968	0.612	2.713		
Literacy level	1.692	1.272	0.183	5.433		
Constant	-0.686	2.936	0.815	0.504		
Number of observations $=$ 100						
Pseudo R^2 =	0.835					
Log likelihood =	32.828					
$LR chi^2 =$	85.564					
$Prob > chi^2 =$	= 0.0000					
Overall Percent correct 91.4%						

Table 5: Adaptation to climate change (binomial logit regression model)

***Significant at 1% level; **Significant at 5% level; * Significant at 10% level

4. Discussion

The conclusions on the influence of age on adaptation and development of farmer resilience have been mixed, with some studies showing no influence others showing positive or negative influence (Charles et al., 2014). The results in this study showed that the younger farmers would adapt better, developing resilience better than the older farmers. This is in contrast to results from a study by Bryan et al (2009) which showed a positive relationship between age of household head and adaptation to climate change, with more mature and experienced farmers adapting to climate. However, Mano and Nhemachena (2007) and Fosu-Mensah et al (2012) concluded that age did not significantly influence adaptation. The results of our study agree with a study by Shiferaw et al (2014) who also found that the head of the household age negatively influenced adaptation. Nyong et al (2007) also suggested the possibility that older farmers may be less amenable to change from their old practices.

The size of the household was found to have a significant influence of resilience development. Considering some of the agronomic adaptation strategies such as conservation agriculture and dry planting are labour intensive, households with large families would be able to take up labour intensive adaptive measures compared with smaller households (Vincent and Cull, 2013). These results are consistent with findings of studies by Gbetibouo (2009) and Nhemachena and Hassan (2010), in South Africa and Zimbabwe, respectively. On the other hand, Apata et al (2009) found that an increase in household size negatively influenced farmers' adaptation to climate change. In support, Mano et al. (2006) postulated that as household size increased, households are inclined

[©] Emerald Group Publishing Limited

This is a pre-print of a paper and is subject to change before publication. This pre-print is made available with the understanding that it will not be reproduced or stored in a retrieval system without the permission of Emerald Group Publishing Limited.

to divert part of its labour force towards off-farm activities. Adaptation strategies such as use of drought tolerant crop varieties has been one of the major strategies for managing water scarcity in agriculture (Rurinda et al. 2014), and long years of plant breeding activities have led to yield increase in drought affected environments for many crop plants (Mutekwa, 2009). Drought tolerance in crops such as maize, pearl millet, cowpea, groundnut and sorghum played important role in fighting the worst droughts in the last half of the 19th century in the Sahel (Mertz et al. 2009). By exploiting drought-tolerance genes, several national and international research institutions have scored important gains in improving the drought tolerance of major grain crops in Africa. Legume crops are vital sources of low-cost protein for smallholder farmers and generate farm income, serve as quality livestock feed and restore soil fertility. Groundnut followed by cowpea is the most widely grown grain legume in the dry areas of Africa, and several countries have released improved cowpea varieties with support from the International Institute of Tropical Agriculture (IITA) (CGIAR, 2012). Drought tolerant varieties of common bean, groundnut, Bambara nut and pigeon pea are also grown in highly variable rainfall areas of Africa (Verchot et al. 2007). The choice of these drought tolerant crops is against the background that most farmers in Africa rely on rainfall to grow maize. Dry conditions often have disastrous consequences, often leading to more vulnerability.

Several studies conducted on the determinants of adaptation show a positive relationship between adaptation and credit (Gbetibouo, 2009; Fosu-Mensah et al. 2012; Hassan et al. 2008). With access to credit farmers are able to purchase of appropriate crop seed varieties and fertilisers, plant early, and incorporate other farming practices such as crop diversification, in response to changes in climate. In addition with financial resources households can make use of

[©] Emerald Group Publishing Limited

the available information and the numerous adaptation options to respond to climate variability. Therefore, access to credit is a very important factor in determining whether a household adapt to the adverse effects of climate change and variability.

An increase in total livestock holding by one unit is likely to give an increase in the odds of adaptation to climate change by a factor of 1.74 (Table 5). Thornton et al (2007) found livestock endowment to positively affect farmers choice to adapt to climate change or not. Possession of livestock in a rural setting in Zimbabwe signifies better endowed households or in other words wealthy households. This implies that households that are better off are likely to adapt to climate change since they have resources to enable them to adopt other means of livelihoods than those households without or with few resources at their disposal.

The positive influence of extension information to adaptation decision making is consistent with findings by Mano and Nhemachena (2007) who found that access to extension strongly and significantly influenced farmer adaptation adaptation to climate change. Gbetibouo (2009) noted that with access to extension households would be aware of the climatic conditions and the various management practices to adapt to climate change. Soil nutrient depletion has become one of the major constraints to food security in sub-Saharan Africa because of low crop productivity that causes declining per-capita food production (Sanchez et al., 2004; Stocking, 2003). One of the reasons for under-investment in soil fertility inputs in rainfed production systems in Africa is the uncertainty and risks associated with climate variability (IAC, 2004), mainly because nutrients are not used efficiently when water availability is inadequate which results in considerable variability in profitability of fertilizer use and optimal application rates from year to

© Emerald Group Publishing Limited

year and season to season (Whitbread et al. 2004). One of the options for addressing this problem lies in seasonal climate forecasting which presents opportunity for increasing the efficiency of both water and nutrients through adaptive fertilizer management (Jiri et al., 2015a; Vanlauwe et al., 2013). Improved drought management and preparedness depends on access to climate information and early warning systems. The value of climate information lies in its ability to provide evidence of risk of a major climate shock in advance which help in anticipating the costs and the scale of measures that may be needed at the national and regional level (Jost et al., 2015). Climate information systems can contribute to strengthening institutional capacity and coordination to support generation, communication and application of early warning systems. As a component of disaster risk reduction, early warning systems in Africa have provided the information necessary to allow for early action that can reduce or mitigate potential disaster risks.

The negative influence of farm income to choice of adaptation is contrary to studies by Deressa, (2010) and Gbetibouo (2009) where income positively influenced household decision to adapt to climate change as availability of income would allow farmers to purchase enough inputs and better varieties. Farmers with more farm income indicate farmers who are already have better income from farming. This means these farmers with higher farm incomes have no incentives of adapting than those farmers with falling or lower farm incomes. In other words, lower farm incomes is an incentive to adapt and need to develop resilience. Those households realizing already higher farm income have lesser incentives to adapt to newer ways of farming since their current farming practices might already be optimum. This means that if the available methods promise no better off incentives, farmers are not willing to adopt or adapt.

© Emerald Group Publishing Limited

This is a pre-print of a paper and is subject to change before publication. This pre-print is made available with the understanding that it will not be reproduced or stored in a retrieval system without the permission of Emerald Group Publishing Limited.

For communities to escape chronic poverty, they must increase their resilience to withstand shocks and hazards associated with climate change and variability (Table 3). By building resilience between and throughout hazard cycles, livelihoods would be improved, and the cost and scale of future adaptation reduced. Analysis of adaptation and the need to build resilience indicated that there is need for agriculture and structural changes in livelihood strategies in response to climate change and variability. The need for local climate information, informed by local indigenous knowledge and exogenous scientific data has been emphasised (UNISDR, 2011). Locally researched climate smart cropping options are key to building resilience and enhancing food security at the local level (Food and Agriculture Organization, 2013).

5. Conclusion

The results from this study showed that action can be taken to build resilience to hazards and strengthen adaptive capacity to further climatic shocks. There is need to target the younger generation to increase resilience in communities. These results also showed that there is need to provide climate adaptation information through various extension services in order to increase resilience. Farmers have traditionally adapted to climate risk by diversifying across crops and risk management options. Farmers generally diversify their production systems by employing activities that are less sensitive to drought and/or temperature stresses and activities that take full advantage of beneficial climatic conditions. For example, farmers plan their planting and inputs based on their best estimates of the cropping season and they reduce risk exposure by diversifying their livelihoods. Farmers diversify their cropping practices using a mix of crop species both in space and time, growing different cultivars at different sowing dates and farm

[©] Emerald Group Publishing Limited

This is a pre-print of a paper and is subject to change before publication. This pre-print is made available with the understanding that it will not be reproduced or stored in a retrieval system without the permission of Emerald Group Publishing Limited.

plots; combining less productive drought-resistant cultivars with high-yielding but watersensitive crops. Nevertheless, managing droughts effectively in vulnerable areas requires diversifying livelihood strategies and income generating options within and outside agriculture especially into income generating options through non-farm enterprises and employment opportunities. This will require greater investments in infrastructure, road networks, electricity, communication and market development. Resilience can be strengthened through economic, sociological and technological interventions. The steps that need to be taken to build resilience include the anticipation of the hazard at the local level, the prevention, recovery and restoration from a hazard, balancing agricultural productivity against reducing the risk exposure.

Funding: This study was funded by the National Research Foundation of South Africa through the Research Chair: Agronomy and Rural Development, University of KwaZulu-Natal

Conflict of Interest: The authors declare that they have no conflict of interest

References

- Adger, W. N. and Barnett, J. (2009). Four reasons for concern about adaptation to climate change. *Environment and Planning A*, *41* (12), 2800–2805.
- Bryan, E., Deressa, T. T., Gbetibouo, G. A. and Ringler, C. (2009). Adaptation to climate change in Ethiopia and South Africa : options and constraints, *12*, 413–426.
- CARE. (2009). Climate Vulnerability and Capacity Analysis Handbook, 52. CARE International.
- CGIAR. (2012). Leveraging legumes to combat poverty, hunger, malnutrition and environmental degradation. *Research Program on Grain Legumes*, ICRISAT.
- Charles, N., Rashid, H. and James, C. (2014). Analysis of determinants of farm-level adaptation measures to climate change in Southern Africa. *Journal of Development and Agricultural Economics*, 6(5), 232–241.
- Davis, C. (2011). Introduction Climate Risk and Vulnerability: A Handbook for southern Africa. *Council for Scientific and Industrial Research*, South Africa.
- Dube, O. P. and Sekhwela, M. B. M. (2007). Community Coping Strategies in Semiarid Limpopo Basin Part of Botswana: Enhancing Adaptation Capacity to Climate Change AIACC Working Papers.
- Folke, C. (2004). Ecology and society: Traditional knowledge in social–ecological systems. *Ecology and Society*, 9 (3), 7.
- Food and Agriculture Organization. (2013). Climate-Smart Agriculture Sourcebook. FAO, Italy, Rome.
- Fosu-Mensah, B. Y., Vlek, P. L. G. and MacCarthy, D. S. (2012). Farmers' perception and adaptation to climate change: A case study of Sekyedumase district in Ghana. *Environment*,

© Emerald Group Publishing Limited

Development and Sustainability, 14 (4), 495–505.

- Gbetibouo, G. A. (2009). Understanding Farmers' Perceptions and Adaptations to Climate Change and Variability: The Case of the Limpopo Basin, South Africa. *IFPRI Discussion Paper 00849*, 52.
- Hassan, R. and Nhemachena, C. (2008). Determinants of African farmers' strategies for adapting to climate change: Multinomial choice analysis. *AfJARE*, *2*.
- IAC. (2004). Realizing the promise and potential of African agriculture. *InterAcademy Council*, New York, USA.
- Ifejika, S. C. (2010). Resilient adaption to climate change in African agriculture. Bonn: Deutsches Institut für Entwicklungspolitik, Germany.
- Jiri, O., Mafongoya, P. L. and Chivenge, P. (2015a). Indigenous knowledge systems, seasonal " quality" and climate change adaptation in Zimbabwe. *Climate Research*, *66*, 103–111.
- Jiri, O., Mafongoya, P. L. and Chivenge, P. (2015b). Smallholder Farmer Perceptions on Climate Change and Variability: A Predisposition for their Subsequent Adaptation Strategies. *Journal of Earth Science & Climatic Change*, 6 (5): 1 - 10.
- Jost, C., Kyazze, F., Naab, J., Neelormi, S., Kinyangi, J., Zougmore, R. and Kristjanson, P. (2015). Understanding gender dimensions of agriculture and climate change in smallholder farming communities. *Climate and Development*, 1–12.
- Klein R.J.T., Midgley, G. F., Preston, B. L., Alam, M., Berkhout, F. G. H., Dow, K. and Shaw,
 M. R. (2014). *16*. Adaptation Opportunities, Constraints, and Limits. Assessment Report 5Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Paul Watkiss Johanna Wolf Cambridge University Press, United Kingdom.

Läderach, P., Eitzinger, A., Bunn, C., Benedikter, A., Quiroga, A., Pantoja, A. and Rizo, L.

© Emerald Group Publishing Limited

(2011). Methodology Adaptation by agricultural communities to climate change through participatory and supply chain inclusive management. *International Center for Tropical Agriculture (CIAT), Managua, Nicaragua and Cali, Colombia*

- Lobell, D. B., Burke, M. B., Tebaldi, C., Mastrandrea, M. D., Falcon, W. P. and Naylor, R. L. (2008). Prioritizing Climate Change Adaptation Need for Food Security in 2030. *Science*, *319*, 607–610.
- Mano, R. and Nhemachena, C. (2007). Assessment of the Economic Impacts of Climate Change on Agriculture in Zimbabwe: A Ricardian Approach. CEEPA Discussion Paper No. 11, Centre for Environmental Economics and Policy in Africa, University of Pretoria, South Africa.
- Mano, R., Nhemachena, C. and Chitiga, M. (2006). Assessment of the Economic Impacts of Climate Change on Agriculture In Zimbabwe: A Ricardian Approach. *CEEPA Discussion Paper No. 11. Special Series on Climate Change and Agriculture in Africa. Centre for Environmental Economics and Policy in Africa, University of Pretoria, South Africa.*
- Mapira, J. and Mazambara, P. (2013). Indigenous Knowledge Systems and their Implications for
 Sustainable Development in Zimbabwe. *Journal of Sustainable Development in Africa*, 15 (5).
- Maponya, P. (2010). Climate change and agricultural production in Limpopo Province, South Africa: Impact and adaptation options. PhD Thesis, Department of Environmental Science, University of South Africa.
- Marin, A. (2010). Riders under storms: Contributions of nomadic herders' observations to analysing climate change in Mongolia. *Global Environmental Change*, 20, 162–176.

Merijn van Leeuwen, Marianne Rense, Alejandro Jiménez, and Pieter van Eijk, M. J. V. (2013).

© Emerald Group Publishing Limited

This is a pre-print of a paper and is subject to change before publication. This pre-print is made available with the understanding that it will not be reproduced or stored in a retrieval system without the permission of Emerald Group Publishing Limited.

Integrating ecosystems in resilience practice : Wetlands International.

- Mertz, O., Mbow, C., Reenberg, A. and Diouf, A. (2009). Farmers' perceptions of climate change and agricultural adaptation strategies in rural sahel. *Environmental Management*, 43(5), 804–816.
- Mutekwa, V. T. (2009). Climate Change Impacts and Adaptation in the Agricultural Sector: The case of Smallholder Farmers in Zimbabwe. *Journal of Sustainable Development in Africa*, *11* (2).
- United Nations. (2011). The Social Dimensions of Climate Change. World Health Organisation, United Nations.
- Nhemachena, C. and Hassan, R. (2010). Measuring the economic impact of climate change on african agricultural production systems. *Climate Change Economics*, *1* (1), 33–55.
- Nyong, A., Adesina, A. F., Osman Elasha, A. B. and Adesina, F. (2007). The value of indigenous knowledge in climate change mitigation and adaptation strategies in the African Sahel. *Mitig Adapt Strat Glob Change*, 12, 787–797.
- Nyong, A., Adesina, F. and Elasha, B. (2007). The value of indigenous knowledge in climate change mitigation and adaptation strategies in the African Sahel. *Mitigation and Adaptation Strategies for Global Change, 12 (5): 787 797.*
- Pasteur, K. (2011). From Vulnerability to Resilience: A framework for analysis and action to build community resilience. *Vasa*, 128.
- Pye-Smith, C. (2011). Farming's climate smart future: placing agriculture at the heart of climatechange policy. *Farming's Climate-Smart Future*, 36.
- Rurinda, J., Mapfumo, P., Van Wijk, M. T., Mtambanengwe, F., Rufino, M. C., Chikowo, R. and Giller, K. E. (2014). Sources of vulnerability to a variable and changing climate among

28

© Emerald Group Publishing Limited

smallholder households in Zimbabwe: A participatory analysis. *Climate Risk Management*, *3*, 65–78.

- Sanchez, P. A., Davies, R. J. B. and Leakey, R. R. B. (2004). Trees, soils, and food security. Phil. R. Soc. Lond. 352, 949–961 Printed in Great Britain
- Shiferaw, B., Tesfaye, K., Kassie, M., Abate, T., Prasanna, B. M. and Menkir, A. (2014). Managing vulnerability to drought and enhancing livelihood resilience in sub-Saharan Africa: Technological, institutional and policy options. *Weather and Climate Extremes*, 3, 67–79.
- Smit, B. and Wandel, J. (2006). Adaptation, adaptive capacity and vulnerability. *Global Environmental Change*, 16, 282 – 292.
- Stocking, M. A. (2003). Tropical soils and food security: the next 50 years. *Science (New York, N.Y.)*, *302* (5649), 1356–1359.
- Thornton, P., Herrero, M., Freeman, A., Mwai, O., Rege, E., Jones, P. and Mcdermott, J. (2007).
 Vulnerability, Climate change and Livestock Research Opportunities and Challenges for
 Poverty Alleviation. *Open Access Journal Published by ICRISAT*, 4 (1), 1–23.
- Unganai, L. S. and Murwira, A. (2010). Challenges and opportunities for climate change adaptation among small-holder farmers in southeast Zimbabwe. In *Second International Conference: Climate, Sustainability and Development in Semi-arid Regions, Harare, Zimbabwe.*
- UNISDR. (2011). Effective measures to build resilience in Africa to adapt to climate change, 8.
- Vanlauwe, B., Wendt, J., Giller, K., Corbeels, M., Gerard, B. and Nolte, C. (2013). A fourth principle is required to define Conservation Agriculture in sub-Saharan Africa: The appropriate use of fertilizer to enhance crop productivity. *Field Crops Research*, *155*, *10* -

© Emerald Group Publishing Limited

This is a pre-print of a paper and is subject to change before publication. This pre-print is made available with the understanding that it will not be reproduced or stored in a retrieval system without the permission of Emerald Group Publishing Limited.

13.

- Verchot, L. V, Meine, A., Noordwijk, V., Serigne, A., Ae, K., Tomich, T. and Anupama, Á. K.
 V. (2007). Climate change: linking adaptation and mitigation through agroforestry. *Mitig Adapt Strat Glob Change*, *12*, 901–918.
- Vincent, K. and Cull, T. (2013). Climate Change and Food Security in Southern Africa: implications of theoretical development for the promotion of sustainable equitable development 1. Kulima Integrated Development Solutions (Pty) Ltd Johannesburg, South Africa.
- Whitbread, A. M., Jiri, O. and Maasdorp, B. (2004). The effect of managing improved fallows of Mucuna pruriens on maize production and soil carbon and nitrogen dynamics in sub-humid Zimbabwe. *Nutrient Cycling in Agroecosystems*, 69 (1), 59–71.
- Wilhite, D. A., Sivakumar, M. V. K. and Pulwarty, R. (2014). Managing drought risk in a changing climate: The role of national drought policy. *Weather and Climate Extremes*, *3*, 4– 13.
- Zimbabwe Meteorological Department. (2006). Climate Change in Zimbabwe. Government of Zimbabwe, Harare, Zimbabwe.

About the authors

Dr Obert Jiri is a Senior Lecturer in the Faculty of Agriculture at the University of Zimbabwe. He holds a PhD in Crop Science from the University of KwaZulu Natal, South Africa. Dr Jiri has more than 16 years' experience working with various government, private sector and institutions of higher education in the areas of agricultural research, seed production, precision agriculture, geotechnology, education and integrated natural resources management. He has also conducted field surveys, working with communities on issues to do with land reform, redistribution, general agriculture and climate change adaptation. His current research interests are in investigating indigenous knowledge systems as they impact livelihoods in smallholder farming communities. He has published over 30 research papers on various issues including climate change perceptions and adaptation in these communities.

Prof Paramu L Mafongoya is a Full Professor of Soil Science currently based at the University of KwaZulu Natal. He holds a PhD in Forestry and Natural Resources Management (Agroforestry) from the University of Florida. He is currently a South Africa National Research Foundation Research Chair in Agronomy and Rural Development. He is also a Fellow of the Zimbabwe Academy of Sciences. He has extensively published in various fields of soil science and agroforestry. He has over 200 refereed journal articles to date and has published 2 books. His

³¹

[©] Emerald Group Publishing Limited

This is a pre-print of a paper and is subject to change before publication. This pre-print is made available with the understanding that it will not be reproduced or stored in a retrieval system without the permission of Emerald Group Publishing Limited.

current research interests are in integrated soil fertility management, integrated natural resource management, biofuels and renewable energy, sustainable livelihoods and food security, climate change adaptation and mitigation, indigenous knowledge systems and natural resource management

Dr Pauline Chivenge is an agronomist with a PhD from the University of California, Davis (2008) and her thesis was on exploring the mechanisms by which organic and mineral nutrient sources regulate carbon sequestration and nutrient cycling in contrasting soils. She joined Purdue University in Indiana as a postdoctoral researcher researching on carbon and nitrogen cycling in biofuel cropping systems. She later joined the University of KwaZulu-Natal in South Africa as a lecturer where she taught soil science courses and supervised graduate students across different disciplines within the School of Agricultural, Earth and Environmental Sciences. Most of her research work has been at the soil and water interface, focused on studying water, carbon and nitrogen dynamics, and greenhouse gas emissions in terrestrial ecosystems. She is interested studying options that optimise management of natural resources for enhanced ecosystem functioning and poverty alleviation in the developing world, and how this knowledge can be used to adapt to the changing climate and thus improve livelihoods. She is currently employed at ICRISAT as a Senior Scientist is Agroecosystems and Watershed Management.

© Emerald Group Publishing Limited